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# A two-dimensional hydro-morphological model for river hydraulics and morphology with vegetation

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**ABSTRACT:** This work develops a two-dimensional (2D) hydro-morphological model which can be used to simulate river hydraulics and morphology under the condition of various vegetation covers. The model system consists of five modules, including a hydrodynamic model, a sediment transport model, a vegetation model, a bank failure model and a bed deformation model. The secondary flow effects are incorporated through additional dispersion terms. The core components of the model system solve the full shallow water equations; this is coupled with a non-equilibrium sediment transport model. The new integrated model system is validated against a number of laboratory-scale test cases and then applied to a natural river. The satisfactory simulation results confirm the model's capability in reproducing both stream hydraulics and channel morphological changes with vegetation. Several hypothetical simulations indicate that the model can be used not only to predict flooding and morphological evolution with vegetation, but also to assess river restoration involving vegetation.

**KEYWORDS:** vegetation effects; non-equilibrium sediment transport model; river hydraulics; morphological changes; shallow water equations

## 1. Introduction

Vegetation plays multiple roles in real-world river streams. For example, riparian vegetation can protect against bank erosion, and in-stream vegetation may significantly influence flow propagation, sediment movement and river morphology (Darby, 1999; Hickin, 1984; Hupp and Osterkamp, 1996; Keller and Swanson, 1979). Vegetation has been widely used for improving

stream corridor habitat and other ecological functions in many river restoration programmes. Understanding the multiple effects of vegetation is highly important in river management.

In the recent decades, the effects of vegetation on river flows have been extensively investigated through laboratory experiments (Armanini et al., 2010; Bennett et al., 2008; Gorrick and Rodríguez, 2012; Jordanova and James, 2003) and modelling (e.g. (Anderson et al., 2006; Crosato and Saleh, 2011; Gran and Paola, 2001; Jang and Shimizu, 2007; Li and Millar, 2011; Tal and Paola, 2007; Tal and Paola, 2010; Tsujimoto, 1999; Wu et al., 2005b)). These studies have clearly emphasised that vegetation affects flow hydraulics in various ways, and thereby plays a crucial role in river morphology and ecological diversity. However, the majority of the existing studies have been focused on the effects of vegetation on pure flow characteristics, with some considering the long-term flow-vegetation-sediment interaction in braided rivers. Research into the direct fluvial response to vegetation during flooding remains rare.

On the other hand, numerical models for hydro-geomorphological processes have been extensively developed (Guan et al., 2013; Guan et al., 2015b; Liang, 2010). When considering the importance of vegetation, hydro-morphological modelling should take into account the vegetation effects, particularly under conditions where vegetation may play a key role. Flow-sediment-vegetation interaction is a highly complex process where the three components may dynamically interact with each other. Few models have been reported to represent the whole physical process. The current study, therefore, presents a hydro-morphodynamic model with the inclusion of vegetation dynamics to fill this knowledge gap.

In reality, vegetation may or may not be fully submerged by river flows. For example, soft grass and plants are generally submerged during flooding seasons, while rigid vegetation, e.g. trees is usually emergent. In hydraulic and sediment transport modelling, the effects of vegetation is conventionally taken into account through increased resistant force and the Manning's equation has been the most widely-used approach to represent flow resistance (Green, 2005; Guan et al., 2013; Guan et al., 2015b; Liang, 2010; Sellin et al., 2003; Wu et al., 1999). The Manning's coefficient is usually estimated according to specific channel conditions and its accurate estimation requires abundant experience. However, this traditional way of representing flow

resistance is not appropriate for cases when rigid plants are present, e.g. flow through emergent vegetation. In such flow scenarios, resistance is primarily exerted by the stem's drag throughout the flow depth rather than by shear stress at the bed (James et al., 2004). A more appropriate approach is to split channel resistance into several components and then estimate each one separately (Cowan, 1956; Morin et al., 2000). Recently, some approaches have been successively proposed to estimate the flow resistance for modelling flows over or through a vegetated channel (Baptist et al., 2007; Vionnet et al., 2004). This study adopts the estimation method of separating the total resistance into vegetation resistance and bed resistance. The vegetation resistance is then treated as a *drag force* exerted by vegetation. This vegetation resistance usually dominates flow resistance for the vegetated flows (Temple, 1986; Wu et al., 1999) because the presence of emergent vegetation (such as trees), to a certain extent, narrows the channel width, thereby altering flow properties.

This study aims to develop a depth-averaged 2D numerical model for river hydraulics and morphology with vegetation effects, and to better understand the effects of vegetation on changing river morphology through intensive numerical experiments. The numerical model is built upon a layer-based 2D hydro-morphodynamic model (LHMM) (Guan et al., 2014; Guan et al., 2015b) which has been validated by a variety of flood events. A vegetation module is developed and incorporated in the model system to simulate vegetation effects. The model is validated against several laboratory experiments before a real-world application is considered.

## **2. Numerical Model (LHMM)**

### **2.1. Model framework**

Shallow water based numerical models have been widely used for river flow modelling (Costabile and Macchione, 2015; Guan et al., 2013; Hou et al., 2015; Vacondio et al., 2014). The layer-based hydro-morphodynamic model (LHMM) that has been presented in previous work (Guan et al., 2014, 2015a; Guan et al., 2015b) also solves the fully coupled shallow water equations (SWEs) and the sediment transport formulation. Herein, a new vegetation model component is developed

and included in LHMM to consider the vegetation effects. The model system considers the mass and momentum exchange of non-cohesive sediment between bed and flow, and updates the hydraulic and sediment quantities per grid cell, per time step. Figure 1 shows the entire LHMM model framework, which includes four modules:

- **Hydrodynamic module:** The depth-averaged 2D shallow water equations are solved to predict rapidly varying unsteady flows, taking into account the feedback from sediment and vegetation.
- **Sediment transport module:** A non-uniform sediment transport model is developed to describe the transport of sediment particles.
- **Vegetation module:** The external force exerted by vegetation on flow and sediment is parameterised.
- **Bank failure module:** Is a model component to simulate lateral bank erosion or failure.
- **Bed deformation module:** The bed elevation is updated after localised erosion and deposition of sediment.

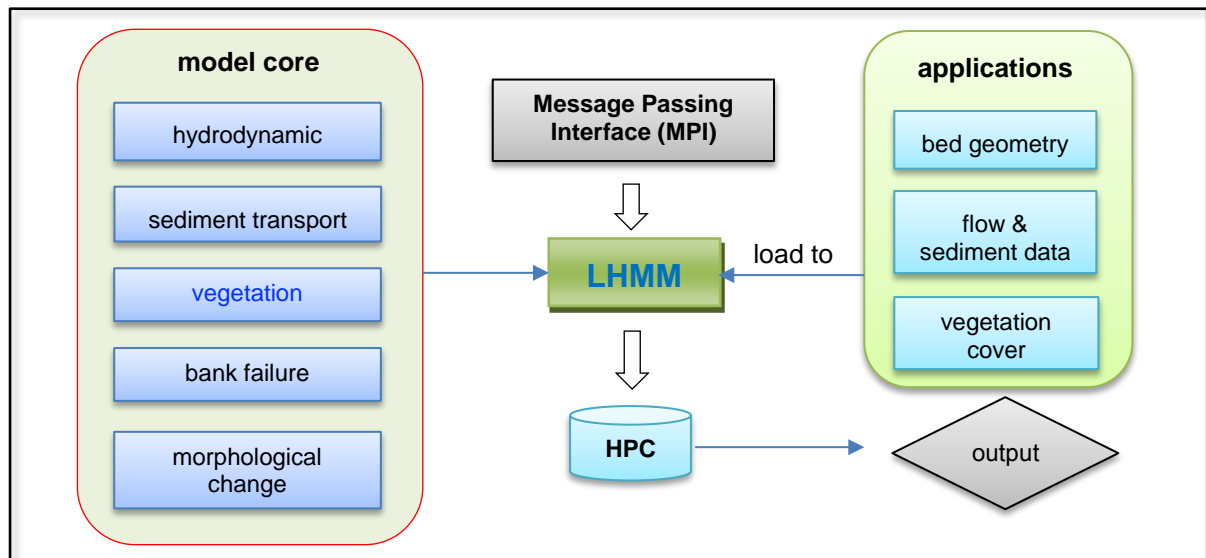


Figure 1. Model framework of LHMM

## 2.2. Hydrodynamic module

The hydrodynamic module solves the depth-averaged 2D shallow water equations, including the

effects of sediment and vegetation on flow dynamics. In a vector form, the governing equations can be expressed by

$$\frac{\partial \mathbf{U}}{\partial t} + \frac{\partial \mathbf{E}}{\partial x} + \frac{\partial \mathbf{F}}{\partial y} = \frac{\partial \tilde{\mathbf{E}}}{\partial x} + \frac{\partial \tilde{\mathbf{F}}}{\partial y} + \mathbf{S}_o + \mathbf{S}_f + \mathbf{S}_v + \mathbf{S}_{fb} \quad (1)$$

where

$$\begin{aligned} \mathbf{U} &= \begin{bmatrix} \eta \\ hu \\ hv \end{bmatrix}, \mathbf{E} = \begin{bmatrix} hu \\ hu^2 + \frac{1}{2}gh^2 \\ huv \end{bmatrix}, \mathbf{F} = \begin{bmatrix} hv \\ huv \\ hv^2 + \frac{1}{2}gh^2 \end{bmatrix}, \tilde{\mathbf{E}} = \begin{bmatrix} 0 \\ h(T_{xx} + D_{xx}) \\ h(T_{xy} + D_{xy}) \end{bmatrix}, \tilde{\mathbf{F}} = \begin{bmatrix} 0 \\ h(T_{yx} + D_{yx}) \\ h(T_{yy} + D_{yy}) \end{bmatrix} \\ \mathbf{S}_o &= \begin{pmatrix} 0 \\ -gh \frac{\partial z_b}{\partial x} \\ -gh \frac{\partial z_b}{\partial y} \end{pmatrix}, \mathbf{S}_f = \begin{pmatrix} 0 \\ -ghS_{fx} \\ -ghS_{fy} \end{pmatrix}, \mathbf{S}_v = \begin{pmatrix} 0 \\ -\frac{\tau_{vx}}{\rho_w} \\ -\frac{\tau_{vy}}{\rho_w} \end{pmatrix} \\ \mathbf{S}_{fb} &= \begin{pmatrix} 0 \\ \frac{\Delta \rho u}{\rho} \frac{\partial z_b}{\partial t} [\alpha(1-p) - c] - \frac{\Delta \rho gh^2}{2\rho} \frac{\partial c}{\partial x} - S_a \\ \frac{\Delta \rho v}{\rho} \frac{\partial z_b}{\partial t} [\alpha(1-p) - c] - \frac{\Delta \rho gh^2}{2\rho} \frac{\partial c}{\partial y} - S_b \end{pmatrix} \end{aligned} \quad (2)$$

where  $\mathbf{U}$  is the vector of conserved variables;  $\mathbf{E}$  and  $\mathbf{F}$  are the flux vectors of the flow in the  $x$  and  $y$  directions respectively,  $\tilde{\mathbf{E}}$  and  $\tilde{\mathbf{F}}$  contain the turbulent and dispersion terms in the  $x$  and  $y$  directions,  $\mathbf{S}_o$  and  $\mathbf{S}_f$  are the vectors containing the bed slope terms and the frictional slope terms,  $\mathbf{S}_v$  contains vegetation terms, and  $\mathbf{S}_{fb}$  is the vector of flow-bed interaction terms. In these vector terms,  $h$  = flow depth,  $z_b$  = bed elevation,  $\eta$  = water surface elevation,  $u$  and  $v$  = the depth-averaged flow velocity components in the two Cartesian directions,  $T_{xx}$ ,  $T_{xy}$ ,  $T_{yx}$  and  $T_{yy}$  are the depth-averaged turbulent stresses,  $D_{xx}$ ,  $D_{xy}$ ,  $D_{yx}$  and  $D_{yy}$  are the dispersion terms due to the effect of secondary flow,  $p$  = sediment porosity,  $c$  = total volumetric sediment concentration,  $\tau_{vx}$  and  $\tau_{vy}$  are the vegetation shear stresses in the  $x$  and  $y$  directions;  $\rho_s$  and  $\rho_w$  denote the densities of sediment and water respectively,  $\Delta \rho = \rho_s - \rho_w$ ,  $\rho$  = density of flow-sediment mixture,  $\alpha$  = sediment-to-flow velocity ratio determined by

$$\alpha = \frac{u^*}{u} \frac{1.1(\theta/\theta_{cr})^{1.7}[1 - \exp(-5\theta/\theta_{cr})]}{\sqrt{\theta_{cr}}} \quad (3)$$

where  $\theta$  and  $\theta_{cr}$  represent the real dimensionless bed shear stress, and the critical Shields parameter,  $u^*$  is shear velocity.  $S_a$  and  $S_b$  are the additional terms related to the velocity ratio defined by Guan et al. (2014)

$$S_a = \frac{\Delta \rho u}{\rho} (1 - \alpha) [c \nabla \cdot (h \mathbf{V}) - (h \mathbf{V}) \nabla \cdot \mathbf{C}]$$

$$S_b = \frac{\Delta \rho v}{\rho} (1 - \alpha) [c \nabla \cdot (h \mathbf{V}) - (h \mathbf{V}) \nabla \cdot \mathbf{C}] \quad (4)$$

where  $\nabla = \vec{i}(\partial/\partial x) + \vec{j}(\partial/\partial y)$ ;  $\mathbf{C}$  is the sediment concentration vector defined by  $\mathbf{C} = c(\vec{i} + \vec{j})$ ;  $\mathbf{V}$  is the velocity vector defined by  $\mathbf{V} = u\vec{i} + v\vec{j}$ .

The depth-averaged turbulent stresses are determined by the Boussinesq approximation which has been widely used in the literature (e.g. (Abad et al., 2008; Begnudelli et al., 2010; Wu, 2004)). This gives the Reynolds stresses as:

$$T_{xx} = -2(\nu_t + \nu) \frac{\partial u}{\partial x} \quad (5a)$$

$$T_{xy} = T_{yx} = -(\nu_t + \nu) \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) \quad (5b)$$

$$T_{yy} = -2(\nu_t + \nu) \frac{\partial v}{\partial y} \quad (5c)$$

where  $\nu_t$  is the turbulence eddy viscosity and  $\nu$  is the molecular viscosity, which can be ignored in environmental applications. Various approaches have been adopted to estimate the turbulence viscosity, e.g. assuming a constant eddy viscosity, an algebraic turbulence model ( $\nu_t \sim hu^*$ ), as well as the  $k - \varepsilon$  turbulence model. In this study, the eddy viscosity is estimated by  $\nu_t = \beta hu^*$  with  $\beta = 0.5$ . The dispersion terms are generally delivered from the difference of the depth-averaged velocity and the vertical varying velocity as follows:

$$D_{xx} = \frac{1}{h} \int_{z_0}^{z_0+h} [u(z) - u]^2 dz \quad (6a)$$

$$D_{xy} = D_{yx} = \frac{1}{h} \int_{z_0}^{z_0+h} [u(z) - u] [v(z) - v] dz \quad (6b)$$

$$D_{yy} = \frac{1}{h} \int_{z_0}^{z_0+h} [v(z) - v]^2 dz \quad (6c)$$

where  $z_0$  is the zero velocity level;  $u(z)$  and  $v(z)$  represents the x and y components of the vertically varying velocity respectively. A number of approaches have been proposed to calculate the vertical varying velocity both in the streamwise and transverse directions (e.g. (De Vriend, 1977; Guymer, 1998; Odgaard, 1986; Wu et al., 2005a)). The Odgaard's equation, based on the

linear transverse velocity profiles over the depth, is employed in this work because of its robustness and simplicity. The longitudinal and transverse velocities are given as (Odgaard, 1986):

$$u_l(z) = U \frac{m+1}{m} \xi^{1/m} \quad (7a)$$

$$u_t(z) = 2v_s \left( \xi - \frac{1}{2} \right), \quad v_s = U \frac{2m+1}{2\kappa^2 m} \frac{h}{r_c} \quad (7b)$$

where  $u_l(z)$  and  $u_t(z)$  are the longitudinal and transverse velocity components in the streamline coordinates, respectively;  $U$  is the depth-averaged longitudinal velocity;  $m = \kappa C/g^{0.5}$  with  $\kappa = 0.41$  being the von Karman's constant;  $v_s$  represents the transverse velocity at the free surface;  $\xi = (z - z_o)/h$  is the dimensionless distance from the bed;  $r_c$  is the radius of curvature. Following the study (Begnudelli et al., 2010), integration of Eqs. (6) using the velocity profiles Eq. (7) yields:

$$D_{ll} = \frac{U^2}{m(2+m)}; \quad D_{lt} = D_{tl} = \frac{Uv_s}{1+2m}; \quad D_{tt} = \frac{v_s^2}{3} \quad (8)$$

Defining the angle of the depth-averaged velocity vector measured counter-clockwise from the  $x$  direction as  $\varphi$ , the dispersion terms in the curvilinear coordinates can then be converted to the Cartesian coordinate system by:

$$\begin{bmatrix} D_{xx} & D_{xy} \\ D_{yx} & D_{yy} \end{bmatrix} = \mathbf{M}(\varphi) \begin{bmatrix} D_{ll} & D_{lt} \\ D_{tl} & D_{tt} \end{bmatrix} \mathbf{M}^T(\varphi)$$

where  $\mathbf{M}(\varphi) = \begin{bmatrix} \cos \varphi & -\sin \varphi \\ \sin \varphi & \cos \varphi \end{bmatrix}$ , so this leads to:

$$D_{xx} = D_{ll} \cos^2 \varphi - 2D_{lt} \sin \varphi \cos \varphi + D_{tt} \sin^2 \varphi \quad (9a)$$

$$D_{xy} = (D_{ll} - D_{tt}) \sin \varphi \cos \varphi + D_{lt} (\cos^2 \varphi - \sin^2 \varphi) \quad (9b)$$

$$D_{yy} = D_{ll} \sin^2 \varphi + 2D_{lt} \sin \varphi \cos \varphi + D_{tt} \cos^2 \varphi \quad (9c)$$

Eqs.(9) accounts for the effect of secondary flow which is included in the hydrodynamic governing equations.

### 2.3. Sediment transport module

The governing equation of the  $i$ th size sediment class is written according to the velocity ratio  $\alpha$



by

$$\frac{\partial hc_i}{\partial t} + \frac{\alpha \partial huc_i}{\partial x} + \frac{\alpha \partial hvc_i}{\partial y} = - \frac{\alpha(q_{bi} - F_i q_{b*i})}{L_i} \quad (10)$$

where  $c_i$  = depth-averaged volumetric bedload concentration of the  $i^{\text{th}}$  size class;  $q_{bi} = h\bar{U}c_i$  = real sediment transport rate of the  $i^{\text{th}}$  fraction;  $\bar{U} = \sqrt{u^2 + v^2}$  is the depth-averaged velocity;  $q_{b*i}$  = sediment transport capacity of the  $i^{\text{th}}$  fraction;  $F_i$  represents the proportion of  $i^{\text{th}}$  grain-size fraction in the total moving sediment and is updated at each time step using the approach presented by Wu (2004);  $L_i$  = non-equilibrium adaptation length of sediment transport of the  $i^{\text{th}}$  fraction which is estimated by

$$L_i = \frac{h\sqrt{u^2 + v^2}}{\gamma\omega_{fi}} \text{ with } \gamma = \min\left(\alpha\frac{h}{h_b}, \frac{1-p}{c}\right) \quad (11)$$

where  $h_b$  is the thickness of a sheet flow layer;  $\omega_{fi}$  is the effective settling velocity of a sediment particle which is determined by the formula proposed by Soulsby (1997):

$$\omega_{fi} = \frac{v}{d_i} \left( \sqrt{10.36^2 + 1.049(1-c)^{4.7}d_*^3} - 10.36 \right) \quad (12)$$

where  $d_* = d_i[(s-1)g/v^2]^{1/3}$  is the dimensionless particle diameter.

The bed load is estimated using the Meyer-Peter & Müller equation (Meyer-Peter and Müller, 1948)

$$q_{b*i} = 8(\theta_i - \theta_{cr,i})^{1.5} \sqrt{(s-1)gd_i^3} \quad (13)$$

where  $\theta_{cr,i}$  is the critical dimensionless bed shear stress of the  $i^{\text{th}}$  fraction;  $\theta$  is the dimensionless bed shear stress;  $s = (\rho_s/\rho_w - 1)$  is the special gravity of sediment.

## 2.4. Vegetation module

In the current model framework, vegetation is catalogued into two types according to the stiffness and submerged extent: (i) submerged flexible vegetation, such as grass; (ii) submerged or emergent plants with rigid or hard stems (rigid vegetation). The vertical distribution of flow velocity in the two types of vegetation is sketched in Figure 2. In case of submerged flexible

vegetation, the existence of vegetation elevates the total resistance, thereby reducing the flow velocity. For the flow over submerged rigid plants, the velocity in the lower layer of the plants is obviously decreased because of the resulting drag force and the effect caused by narrowed channel width. The decreased velocity can reduce bed shear stress, and subsequently weaken the sediment transport capability of the flow. In the case of emergent rigid plants, the main feature of velocity distribution is similar to that in Figure 2(a), but the magnitude of velocity may be significantly affected by plants and hence different, as shown in Figure 2(c). When considering vegetation in flow modelling, a common approach is to treat vegetation as rigid cylinders with the same diameter, same species and same spacing (Bennett et al., 2008; Choi and Kang, 2006; Wu et al., 2005b).

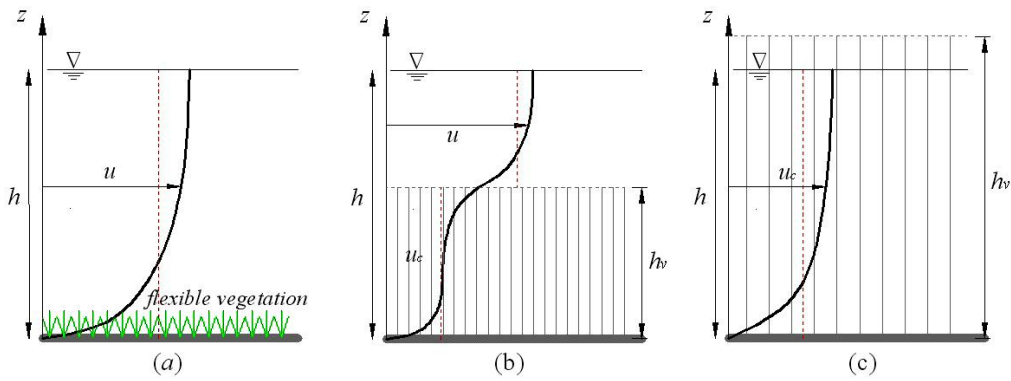


Figure 2 Flow velocity distribution with vegetation: (a) flow over submerged flexible vegetation; (b) flow over submerged rigid plants; (c) flow through emergent rigid plants

#### 2.4.1. Bed shear stress effective to sediment transport

In Eq. (2), the shear stresses related to grain roughness and vegetation roughness are treated separately. In other words, the flow resistance is divided to two parts to obtain the appropriate Manning's  $n$ , i.e. the resistance exerted by the bed and the resistance exerted by the vegetation. This method has been adopted by many other studies (Crosato and Saleh, 2011; Li and Millar, 2011) because it can not only reflect the decreasing of bed shear stress which reduces the sediment transport capacity in the vegetation layer, but also elucidate the increasing of total resistance which reduces flow velocity within and above plants. The final expression of the Manning coefficient is given by

$$n = \sqrt{n_1^2 + n_2^2} \quad (14)$$

where  $n_1$  is the Manning's coefficient related to grain roughness;  $n_2$  is the Manning's coefficient associated with the flexible vegetation roughness. Whilst for the rigid plants, the vegetation shear stress  $\tau_b$  is calculated by formula below

$$\tau_b = \frac{\rho_w g n_1^2 \mathbf{U}_c |\mathbf{U}_c|}{h^{1/3}} \quad (15)$$

The corresponding dimensionless bed shear stress is calculated by

$$\theta_i = \frac{|\tau_b|}{\rho_w g (s-1) d_i} = \frac{n_1^2 |\mathbf{U}_c|^2}{(s-1) d_i h^{1/3}} \quad (16)$$

where  $\mathbf{U}_c$  is the vector of depth-averaged flow velocity in the vegetation layer; for emergent vegetation, it is equal to the depth-averaged flow velocity  $\mathbf{U}$ ;  $|\mathbf{U}_c|$  is the magnitude of  $\mathbf{U}_c$  determined using the Stone and Shen's equation (Stone and Shen, 2002).

$$\mathbf{U}_c = \delta \mathbf{U} \sqrt{\left(\frac{h_v}{h}\right)} \quad (17)$$

in which,  $\delta$  is a coefficient approximately equal to 1.0;  $h_v$  represents the height of rigid plants. When calculating the sediment transport rate, the velocity in the vegetation layer will be used instead of the depth-averaged flow velocity.

#### 2.4.2. Parameterisation of vegetation shear stress

In the current model system, the vegetation is parameterised according to the classification of vegetation. The effects of flexible vegetation are represented through the shear stress related to the vegetation roughness by

$$\tau_v = \frac{\rho_w g n_2^2 \mathbf{U} |\mathbf{U}|}{h^{1/3}} \quad (18)$$

For rigid plants, individual elements of plants are identified as disperse obstacles with drag forces, but this will be spatially averaged to give a shear stress per unit volume of water as

$$\tau_v = \frac{1}{2} \rho_w \lambda C_D h |\mathbf{U}_c| \mathbf{U}_c \quad (19)$$

where  $C_D$  represents the drag coefficient of vegetation elements;  $\lambda$  denotes the projected area of vegetation elements per unit volume of water, given by

$$\lambda = \frac{4\alpha_v V_d}{\pi D_v} \quad (20)$$

where  $\alpha_v$  is a shape factor,  $V_d$  represents the vegetation density in vegetated zones (%),  $D_v$  is the diameter of the plant stems;  $l$  and  $w$  are the length and width of vegetated channel, respectively. Therefore, the vegetation shear stress  $\tau_{vx}$  and  $\tau_{vy}$  exerted by rigid plants in Eq. (1) are calculated by

$$\tau_{vx} = \frac{1}{2} \rho_w \lambda C_D h u_c \sqrt{u_c^2 + v_c^2} \quad (21a)$$

$$\tau_{vy} = \frac{1}{2} \rho_w \lambda C_D h v_c \sqrt{u_c^2 + v_c^2} \quad (21b)$$

where  $u_c$  and  $v_c$  are the depth-averaged flow velocity in the vegetation layer in the  $x$  and  $y$  directions. Previous studies (Alonso, 2004; Garcia et al., 2004; Lopez and Garcia, 2001) have demonstrated that the drag coefficient  $C_D$  is usually in the range of 0.8 and 3.5, and typically varies from 1 to 1.5 (Garcia et al., 2004).

## 2.5. Bed deformation module

The erosion and deposition process is calculated per grid cell at each time step to update the new bed elevation based on the results from the previous hydrodynamic model, sediment transport model and vegetation model. The bed deformation is calculated by

$$\frac{\partial z_b}{\partial t} = \frac{1}{1-p} \sum_{i=1}^N \left[ \frac{(q_{bi} - F_i q_{b*i})}{L_i} \right] \quad (22)$$

where the values of the parameters in the right hand side are calculated according to the equations already explained in previous sections.

## 2.6. Lateral bank erosion

Bank erosion is one of the key morphological processes affecting the evolution of river channels, particularly river banks. In reality, bank failure is a complex process which is closely related to many physical factors, such as vegetation and soil properties. Since this study aims to

investigate the physical process of flow and sediment transport in the presence of vegetation, we adopt a simplified bank failure model to represent the lateral bank erosion. The principle of the adopted method is that if the bank slope becomes steeper than the critical angle of failure, the bank will fail to form a new bedform with a slope approximately equal to the critical angle of repose. The bank failure process is simulated according to this principle, while maintaining mass conservation of sediment material. Different values are used for 1) the critical angles that initiate bank failure, and 2) the reformation bed angles above and below the water. Here, the wet and dry conditions are defined according to the simulated water depth at each time step. The bank failure model is described in detail in Guan et al. (2014).

## **2.7. Model solution procedure**

The model's governing equations (Eqs.1,10, 22) are solved numerically by a well-balanced Godunov-type finite volume method (FVM) on Cartesian grids and details can be found in previous publications (Guan et al., 2013, 2014). As shown in Figure 3, the computation procedure at each time step consists of the following steps:

- (1) Load the data files (hydraulics, sediment, vegetation cover) to the model;
- (2) Calculate shear stresses exerted by the bed (Eq.15) and the vegetation (Eq.18, 19);
- (3) Calculate sediment transport rate and capacity in each cell;
- (4) Solve the coupled governing equations (Eqs.1,10) to update hydraulic variables and sediment concentration to the new time step;
- (5) Update the bed elevation using Eq.(22);
- (6) Activate the bank failure module if bank erosion occurs;
- (7) Update the changes in river morphology;
- (8) Return to step (1) and start the calculation at a new time step
- (9) Repeat step (1) to (8) until the end of the simulation.

As the numerical scheme is explicit, the numerical stability of the model system is controlled by the CFL condition, which may be used to determine the time step  $\Delta t$  at each time step using the following equation

$$\Delta t = CFL \min \left( \min \frac{dx_i}{|u_i| + \sqrt{gh_i}}, \min \frac{dy_j}{|v_j| + \sqrt{gh_j}} \right) \quad (25)$$

The Courant number  $0 < CFL < 1.0$  is implemented for flow calculation, taking into account additional conditions for sediment transport and bed change.

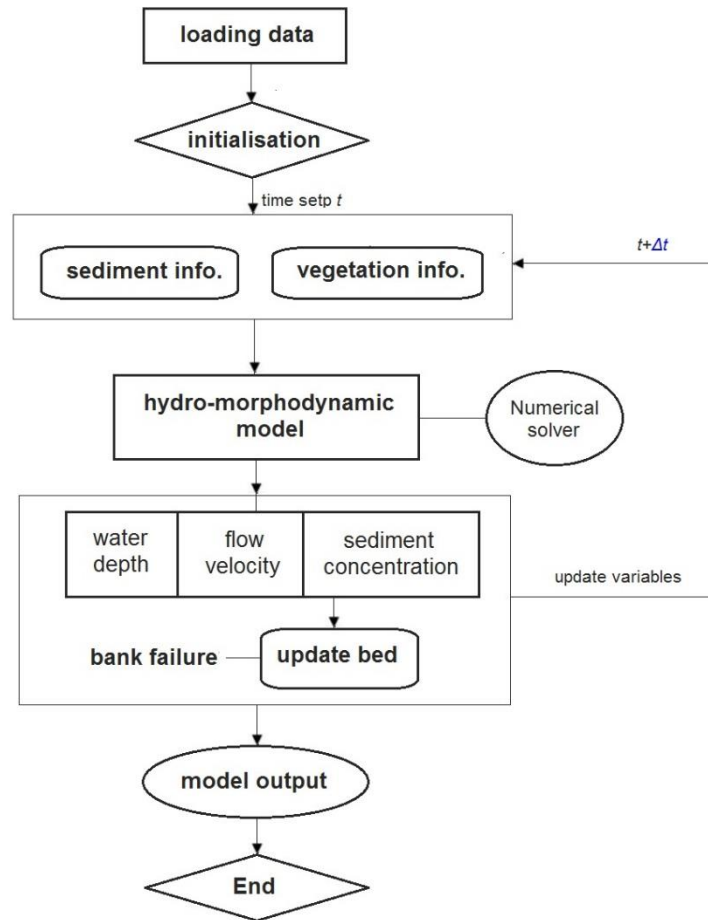


Figure 3 Workflow diagram of LHMM model core

### 3. Results and Discussion

#### 3.1. Model validation

In this section, the new hydraulics-morphology-vegetation modelling system is validated against a number of laboratory-scale test cases, including steady flow over a compound channel with a fixed bed (Pasche and Rouvé, 1985) and steady flow over a compound channel with a movable bed (Bennett et al., 2008).

### 3.1.1. Flow over a compound channel with a vegetated floodplain

The experiments conducted by Pasche and Rouvé (1985) are first considered to verify the capability of the model in accurately simulating shallow flow hydrodynamics in the presence of vegetation. The experiment was carried out in a 25.5 m x 1.0 m compound channel with a floodplain covered by vegetation. The cross-section of the channel is shown in Figure 4. Circular wooden cylinders with a uniform diameter of 0.012 m are used to represent the vegetation in the floodplain. Two experimental cases are considered in this work: Case 1 has a vegetative density of 0.0126 and bed slope of 0.001; Case 2 has a vegetative density of 0.0253, and bed slope of 0.0005. For both cases, the initial water depth is 0.2 m in the main channel and 0.076 m in the floodplain and an inflow discharge of 0.0345 m<sup>3</sup>/s is fed from the upstream boundary to drive the steady flow.

During the simulations, the key coefficients for the channel and floodplain are specified as follows: for the simple cylindrical vegetation, shape factor = 1.0; Manning's  $n = 0.01$ ; drag coefficient  $C_d = 1.5$ . The experimental flume is discretised using a mesh with 255 × 100 uniform cells of 0.1 m × 0.01 m. Figure 5 presents the simulations results for both of the experiments, where the modelled cross-section velocity profiles are compared satisfactorily with the laboratory measurements. The velocity in the vegetated zone is significantly smaller than that in the main channel, and the flow velocity in the vegetated floodplain decreases with higher vegetated density (Figure 5(b)). Successful simulation of this laboratory test demonstrates that the proposed model is capable of accurately simulating shallow flow hydrodynamics in the presence of vegetation.

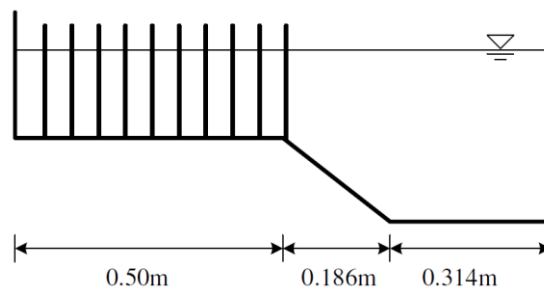


Figure 4. Cross-section of the flume used in the experiment of Pasche and Rouvé (1995).

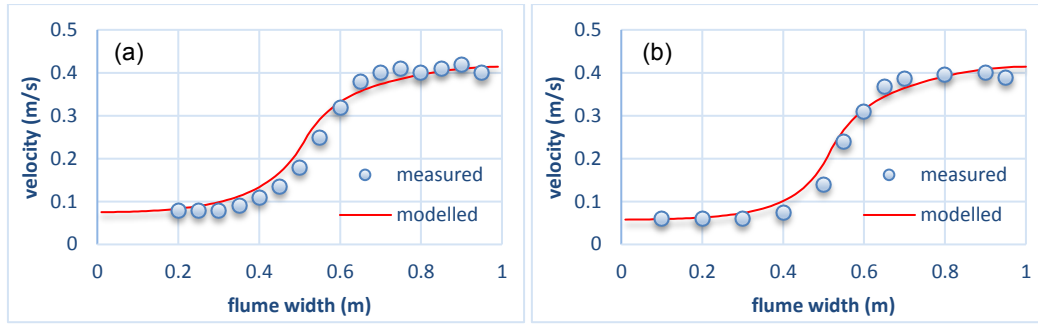


Figure 5. Measured and modelled velocity profiles for the two experiments with different vegetation density: (a) Case 1 (vegetative density of 0.0126); (b) Case 2 (vegetative density of 0.0253).

### 3.1.2. Fluvial response to in-stream woody vegetation

A series of experiments have been conducted in the hydraulic laboratory of Buffalo University to examine in detail the response of a stream corridor to woody vegetation of various configurations (e.g. (Bennett et al., 2002; Bennett et al., 2008)). These experiments provide further valuable datasets for the validation of the current hydraulics-morphology-vegetation modelling system. The experiments reported in Bennett et al. (2008) are considered herein to verify model capability in predicting alluvial response to riparian vegetation.

The experiments were performed in a flume which is 10 m long, 0.63 m wide and 0.61 m deep. The channel was first filled with a 0.5 m thick pre-wetting layer of sands with a uniform grain diameter of 0.8 mm. A 5 m long trapezoidal channel was cut out from the sand layer using an aluminium plate mounted on a movable carriage above the flume. The trapezoidal sand channel had a top width of 0.312 m, a bottom width of 0.1 m and a side slope of 3:1. An adjustable weir was installed to control the flow depth, which was initially 0.069 m in the main channel. A constant inflow ( $Q = 0.0033 \text{ m}^3/\text{s}$ ) was imposed from the upstream boundary of the channel. In the experiments, the channel was covered by three vegetation zones where emergent, rigid wooden dowels with a diameter of 5 mm were planted. Two zones were on the left and one on the right, with each spaced 1.5 m apart. Vegetation zones of different shapes were used in the experiments, two of which are modelled in this work: (1) 0.5 m  $\times$  0.25 m rectangle; (2) 0.5 m diameter semicircle. For both cases, the vegetation density is chosen to be 0.0294.

Both simulations last for 6600s, the flume is discretised by a mesh of 0.05 m  $\times$  0.01 m uniform cells. The experiment indicates that no sediment transport occurs in the absence of vegetation.



To ensure this, the manning's  $n$  is set to 0.028. The shape factor and drag coefficient are respectively set to 1.2 and 2.0.

Figure 6 demonstrates the modelled and measured changes in channel bed elevation in the presence of the rectangular vegetation zone. It is clearly shown that the modelled bed changes are generally in good agreement with observations, in terms of both the pattern and magnitude of net erosion and deposition. Around the rectangular vegetation zone, the model predicts two erosion patches that closely agreed with the measurements, one in the opposite side of the vegetation zone and another in the upstream bank area. However, although the deposition in the mid-channel region is correctly modelled, the deposition depth upstream of the vegetation zone is predicted to be smaller than the observed results; additionally the model slightly overestimates the mid-channel deposition downstream of the vegetation zone. As a whole, the current model simulates reasonably well the alluvial process in response to riparian vegetation in this case, considering the various uncertainties existing in sediment transport models.

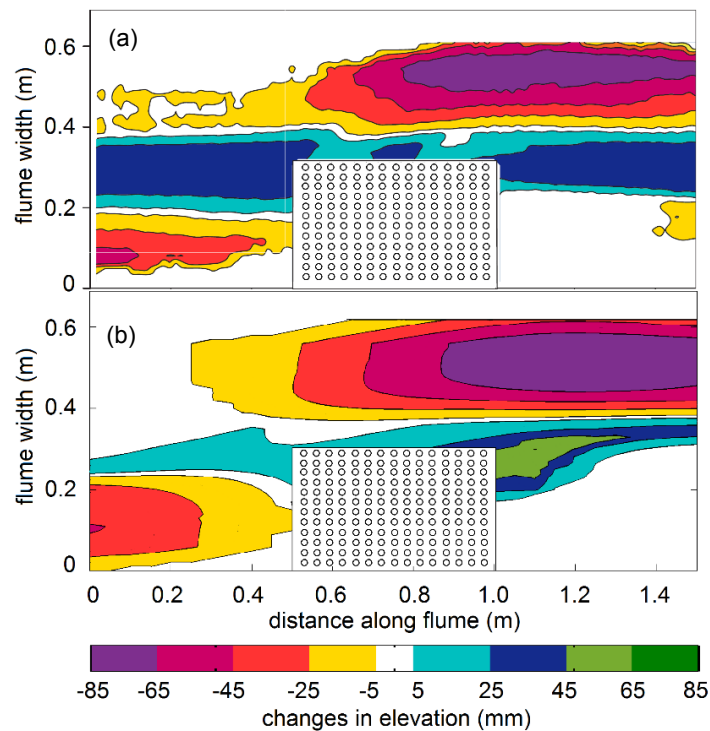


Figure 6. Contour plots of changes in channel bed elevation in the presence of rectangular vegetation: (a) observed result; (b) simulation result.

With identical model parameters, the model simulates the case presented with a semicircle vegetation zone. The predicted bed changes are shown in Figure 7, in comparison with the

laboratory measurement. The current model again predicts the general pattern of the channel erosion and deposition around the vegetation zone reasonably well. As with the rectangular vegetation patch case, discrepancies between the modelled and measured results are observed in the mid-channel deposition zone. Further comparison is made in Figure 8 by plotting the measured and predicted bed profiles at three cross-sections which are located at the front (- 0.5 m) (CS1), the middle (0 m) (CS2) and the back (0.5 m) (CS3) of the semicircle vegetation zone. Clearly, the predicted bed profiles agree with the measurements reasonably well. Particularly, erosion takes place at the left bank while deposition is found in the mid-channel at CS1; at both CS2 and CS3, erosion happens at the right bank which is accurately predicted, but the model slightly overestimates the deposition at CS2. Overall, successful reproduction of these two tests confirms that the present model is capable of simulating morphological changes in the presence of vegetation. From the results, it may be concluded that riparian vegetation has a significant effect on the morphological change of the river corridor.

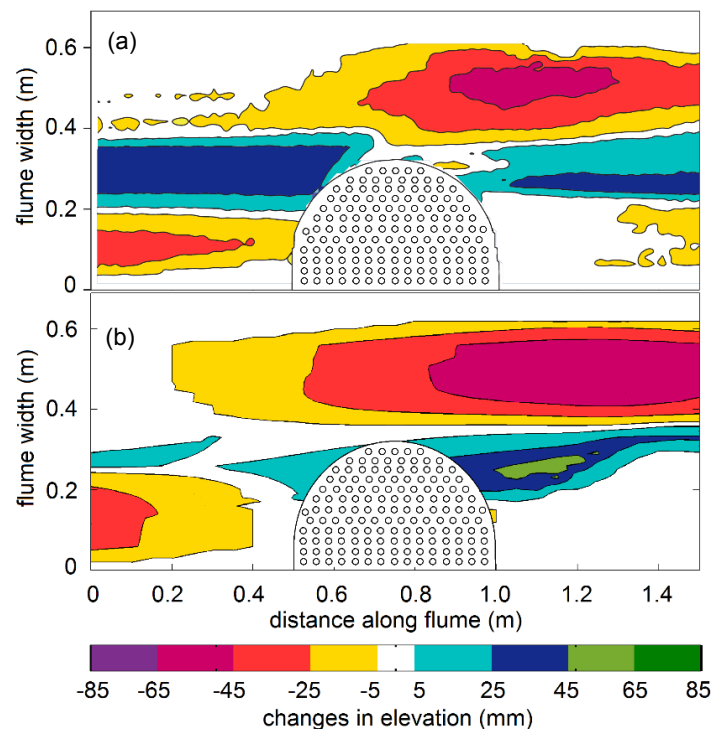


Figure 7. Contour plots of changes in channel bed elevation in the presence of semicircle vegetation: (a) observed result; (b) modelled result.

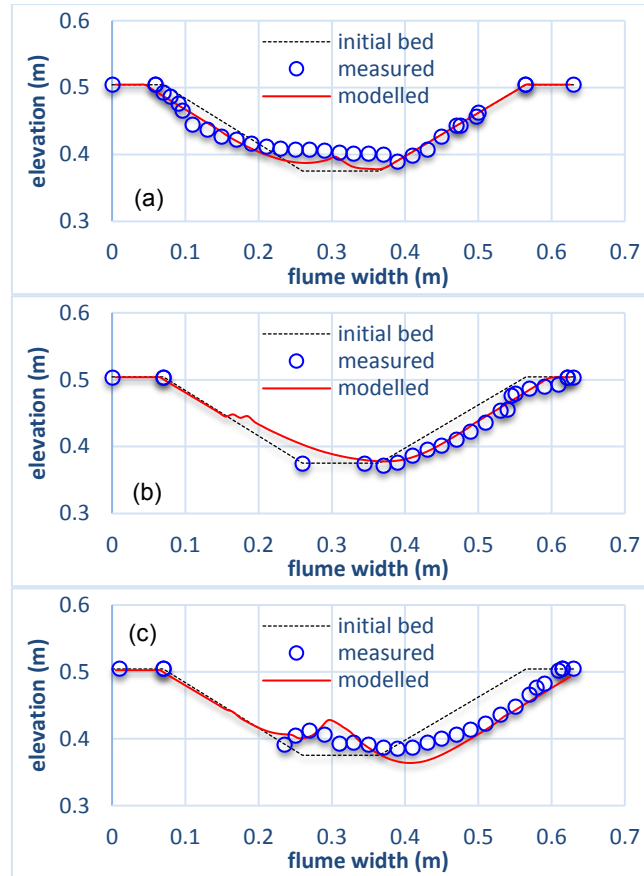


Figure 8. Modelled and measured bed profiles at (a) the front (-0.5 m), (b) the middle (0 m) and (c) the back (+0.5 m) of the vegetation zone

### 3.2. Channel pattern adjustment to riparian vegetation

Based on the validation cases presented above, numerical experiments with different vegetation covers are designed to further explore the effects of riparian vegetation on channel pattern adjustment at a wider context. The simulations are parameterised with the same main channel shape, the same streamwise bed slope, and the same sediment material as the experimental cases considered in 3.1.2. But the length of the erodible bed is extended from 5 m to 9 m, and the floodplain width from 0.07 m to 0.37 m at both sides in order to investigate the lateral bank erosion. Five vegetation zones are placed at both sides of the main channel. The location of these five vegetation zones and the initial channel are illustrated in Figure 9(a). Each vegetation patch has the same vegetation density, plant diameter and drag coefficient.

Figure 9 presents the snapshots of the simulation results at different output times, demonstrating changes in channel pattern in response to the five emergent, woody vegetation zones. Overall,

the presence of vegetation patches forces the channel to become meandering after initially being straight. The presence of vegetation changes the flow field by increasing velocity at the opposite size of the vegetation zones, but reducing the velocity within the vegetation zones. Accordingly, the modified flow field leads to the deformation of the channel corridor. Figure 10 further shows the erosion and deposition patterns in the channel at different output times. It is clear that the eroding process dominates channel changes at the opposite sides of the vegetation zones and that erosion becomes more severe and tends to be in a steady state over the time. Meanwhile, deposition occurs around the vegetation, which can be attributed to two main causes: (1) the deposition in front of the vegetation zone is caused by blockage effects of the vegetation; (2) since the initial bank slope is approximately equal to the angle of repose of the sediment, bed erosion initiates the repose and retreatment of the lateral bank which subsequently leads to some deposition at the bank toe.

From the numerical experiments, the downstream channel is observed to be more intensively meandering. This is because the change in velocity at the downstream is more significant due to the presence of vegetation upstream. This indicates that vegetation can pose consistent and cumulative effects on the morphological changes to a river corridor. From the simulation results, it is clearly seen that the thalweg of the stream corridor is gradually changed from a straight line to a meandering curve with a wavelength equal to the interval of vegetation zones. Furthermore the channel is significantly widened, particularly at the downstream, which is consistent with the forms of natural river systems.

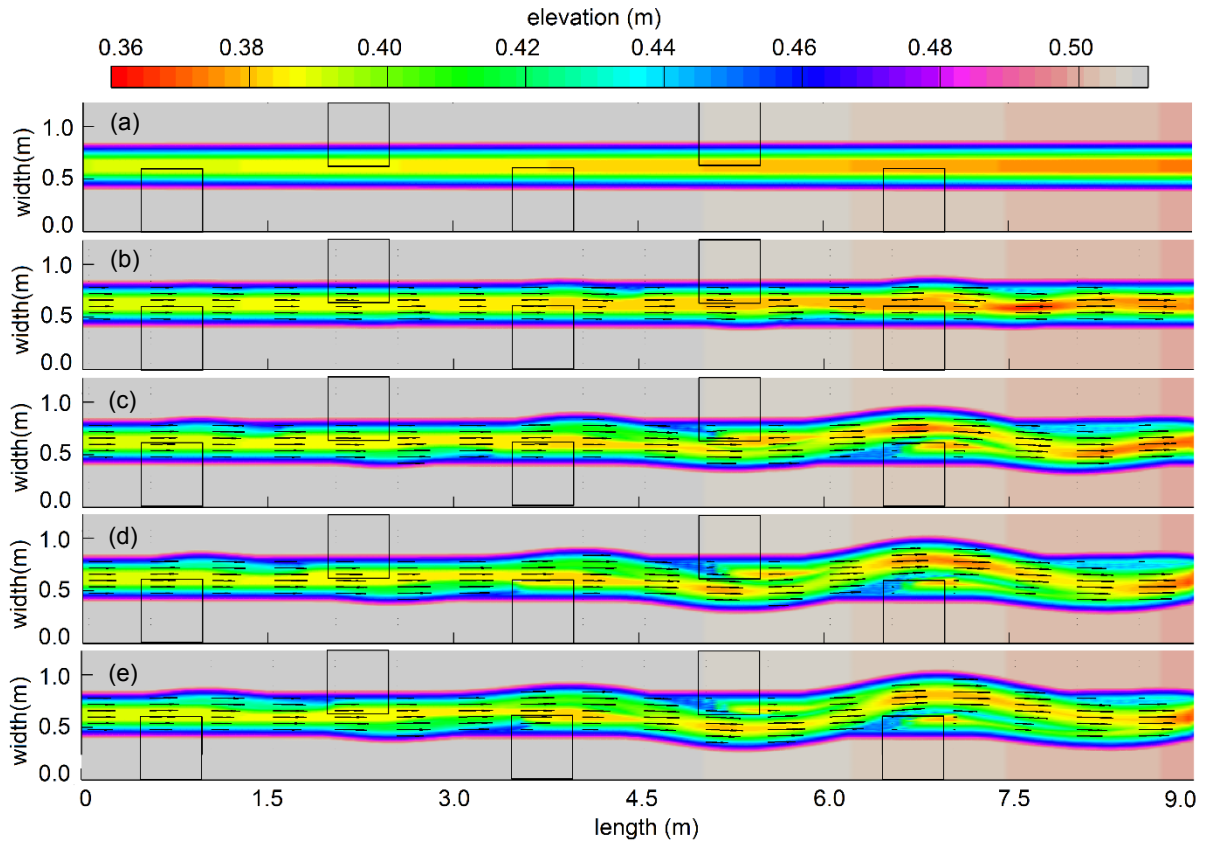


Figure 9. Channel pattern adjustment in response to multiple vegetation patches along a straight river corridor.

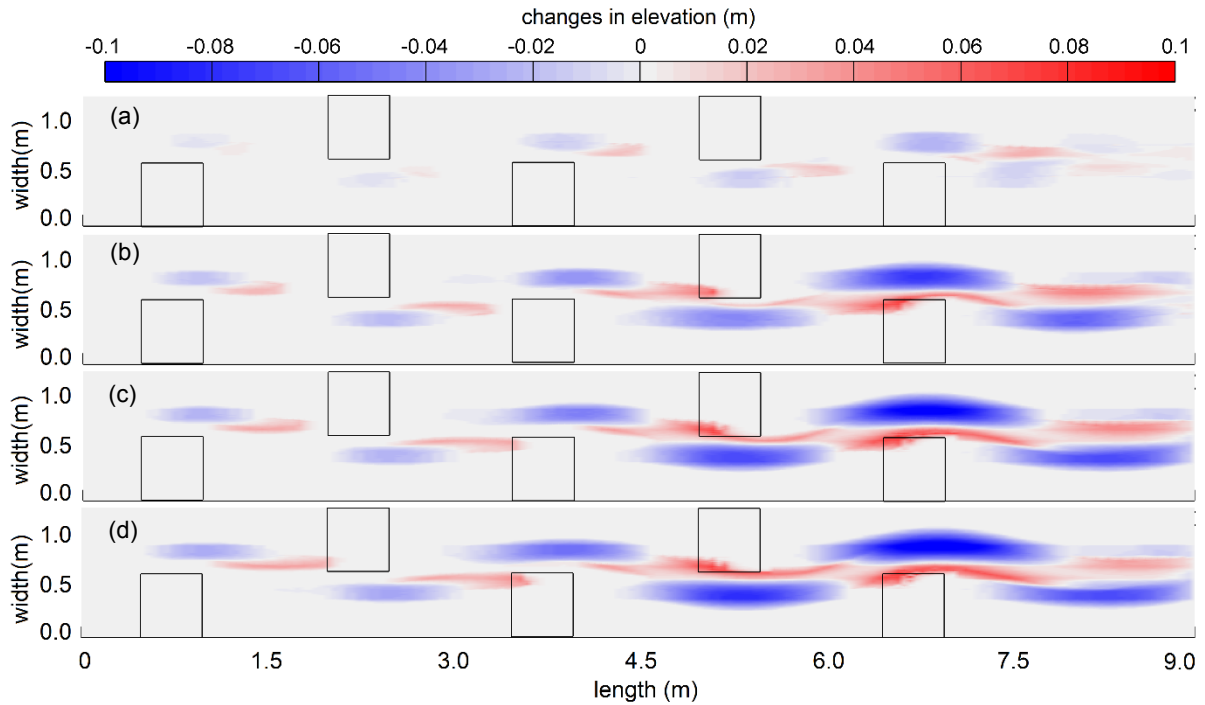


Figure 10. Erosion and deposition of the channel in response to the vegetation against time.

The alluvial response to the vegetation zone is more remarkable under the condition of higher inflow discharge, as demonstrated in Figure 11. Compared with the lower inflow ( $Q_{in}$ ), the higher

inflow discharge ( $1.5Q_{in}$ ) induces more severe lateral bank erosion, particularly near the upstream vegetation zones. Both bank erosion width and size are much larger near the first four vegetation zones for the  $1.5Q_{in}$  inflow. However, the difference becomes smaller after the fifth vegetation patch.

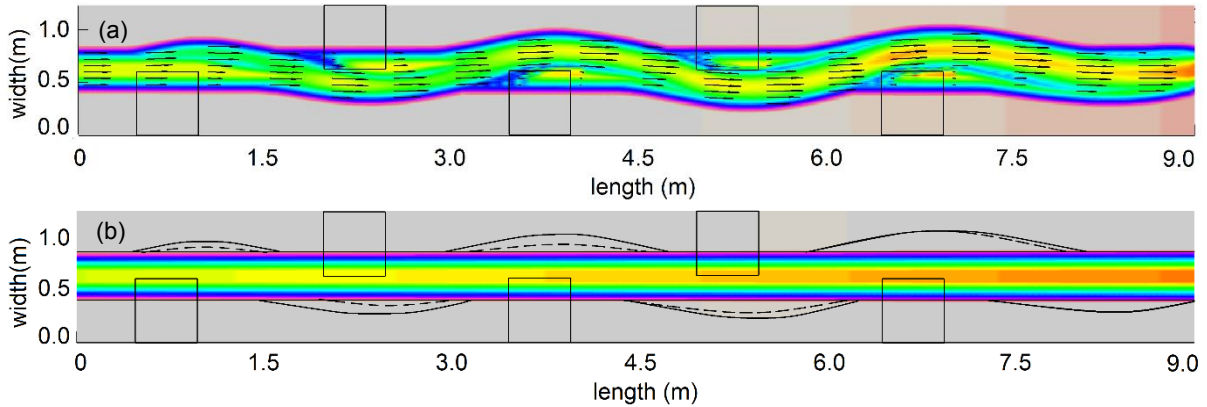


Figure 11. Adjusted channel patterns corresponding to different inflow conditions: (a)  $1.5Q_{in}$ ; (b) comparison of adjusted bank lines for two different flow conditions, i.e.  $Q_{in}$  and  $1.5Q_{in}$ .

The above numerical experiments are conducted under the condition that the five vegetation zones are separated by equal distance. The meandering response of the channel form can be easily understood due to the location of vegetation zones. Herein, another numerical experiment with a single vegetation patch is designed and conducted. Figure 12 presents the resulting alluvial process in response to the single vegetation zone. The simulation results indicate that a single vegetation zone can also trigger the formation of a meandering channel with the maximum bank curvature located behind the vegetation zone. Channel widening occurs at the opposite side of the vegetation zone and the curve length becomes larger over time (line 1 shows the end of the first curve). The changes in velocity field around the vegetation lead to an oscillation in downstream velocity, causing the formation of a second curve after the vegetation; similarly, the curve width increases over time (as shown in line 2). Moreover, lateral bank erosion occurs along the whole downstream channel behind the vegetation zone. Although meandering occurs, it has a relative smaller intensity due to the weaker effects on flow caused by a single vegetation patch. Additionally a bar (bar 1 in Figure 12) is created at the location of the vegetation zone; following the meandering curve, a larger bar (bar 2) is formed due to the effects of upstream vegetation on channel erosion and deposition; the third and fourth bars appear and develop gradually along the

channel. It can be expected that the erosion and deposition patterns of a stream corridor become much more diverse and complicated over time if vegetation zones become more irregular.

The above hypothetical numerical experiments confirm that riparian and in-stream vegetation coves have a significant impact on local channel hydraulics and thereby stream morphology. The results imply that vegetation plays a key role in pushing flow towards the opposite side and hence protecting the localised bed; however it may cause severe erosion at the opposite side of the channel. The vegetation effects are persistent along the channel and further downstream, which may have a positive impact on and enhance stream biodiversity. This suggests that well-planned vegetation planting can be an effective natural approach for river restoration.

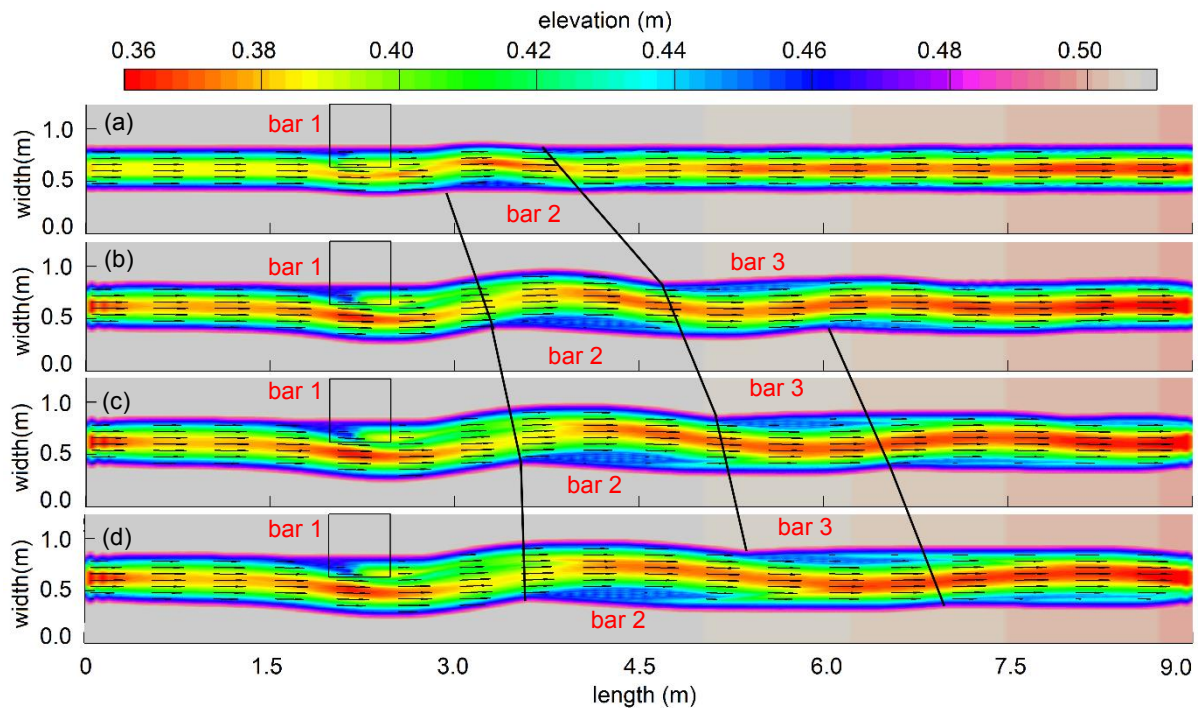


Figure 12. Channel pattern adjustment in response to a single vegetation zone under the condition of  $1.5Q_{in}$

### 3.3. Morphological changes at a natural bend of River Creta

The capability and performance of the current model are further demonstrated and confirmed through application to a natural river reach. The study concerns a short reach of the River Greta located in Keswick, UK. The river reach is about 160 m long and has a varying width of 10 m to 40 m, featuring a sharp bend. The difficulty in modelling morphological changes in a natural bend has been investigated in details by Guan et al. (2016) which did not account for the effects of vegetation. Field surveys show that the river channel is extensively covered by riparian



vegetation that may be separated into two zones, i.e. the grass area at the outer bank and the area at the inner bank of the river bend, as shown in Figure 13(a, b). During the flood periods, morphological changes regularly take place at the sharp bend and field survey data is available for this study.

Digital Terrain Models (DTMs) with a  $1\text{m} \times 1\text{m}$  resolution are reconstructed based on measured raw point data to represent the bed terrain of the site in August 2005 and July 2006, before and after the flooding period 2005-2006. The hydrograph of 15-minute intervals from January 2005 to July 2006 (Figure 14) is available at the Low Briery station, upstream of the study site. Most of the time, the flow discharge is smaller than  $30\text{ m}^3/\text{s}$ . Field surveys demonstrate that geomorphological changes are insignificant during the low flow period. Thus this study only focuses on flooding periods when flow is greater than  $30\text{ m}^3/\text{s}$ .

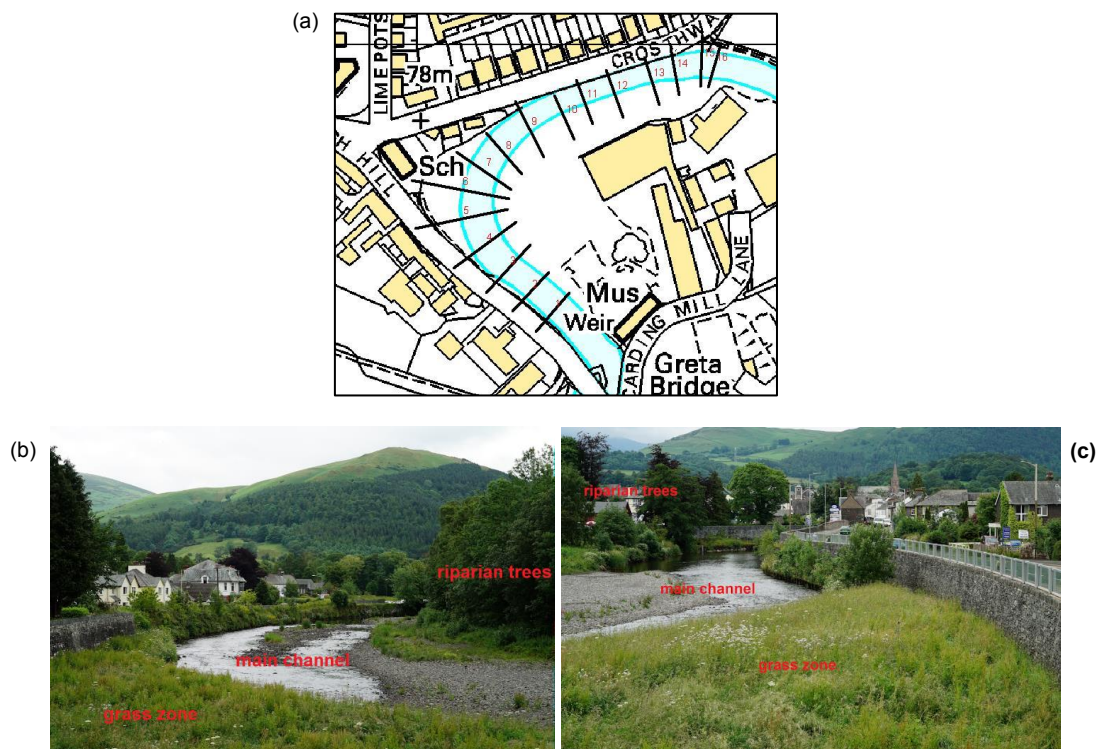


Figure. 13 The study river reach: (a) map showing the study site; (b) photo facing upstream; (c) photo facing downstream.



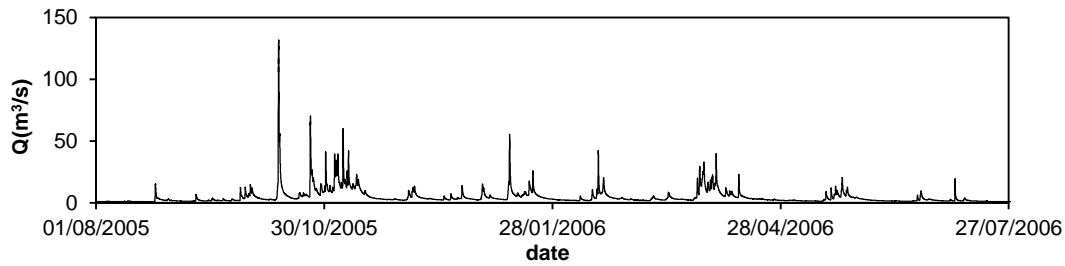


Figure 14. The inflow hydrograph recorded at the Low Briery gauge station

The study domain is discretised by a grid with uniform cells of  $1\text{ m} \times 1\text{ m}$ . The Manning's coefficient is set to 0.03 in the river channel and 0.035 in the grass zone. The drag coefficient  $C_d$  is assumed to be 2.0 for the emergent vegetation zone. The projected area  $\lambda$  is equal to 0.15 or 0.25 in order to test the model sensitivity. Non-uniform sediment with diameters of 0.02 m (30%), 0.04 m (40%), and 0.06 m (30%) is used and upstream inflow sediment load is neglected. The recorded flow discharge is used as the inflow boundary condition to drive flow in the study reach, and the corresponding stage-discharge curve is imposed at the outflow boundary. The radius of the bend is estimated to be 60 m and used in the simulations.

Figure 15 shows the predicted and measured changes in bed elevation at the bend during the multiple flood events from 2005 to 2006. Overall, the model predicts the formation of a bar, and both the location and pattern of the deposition bar at the bend agree reasonably well with measurements. Main deposition occurs at the inner bank of the bend. Small differences exist in the projected area. The model predicts a similar magnitude in the deposition depth, compared with the measured value. However, the model under-estimates the bar size; while in the main channel, it over-estimates the bed erosion.

Due to the spatial and temporal complexity of a natural study case and the scarcity of high-quality data, the simulation results are obtained without intensive model calibration. The simulation results may also be affected by the following uncertain factors; The time interval between the two DTMs representing the bed terrains before and after the flood is 1 year; the current simulation only considers the flooding periods with flow rates over  $30\text{ m}^3/\text{s}$  and the recovery of channel morphology during low flow periods is neglected which inevitably leads to uncertainty. Sediment flux from upstream may significantly affect the hydro-geomorphology in the study reach but cannot be taken into account due to the lack of data. Moreover, accurate

parameterisation, such as sediment composition, viscosity and sediment transport capacity, is difficult, if not impossible, for a natural study case. Due to all these uncertainties linked to data scarcity, the simulation results are considered to be acceptable and the current model is demonstrated to be capable of predicting morphological changes during flooding over riparian vegetated channel in real cases.

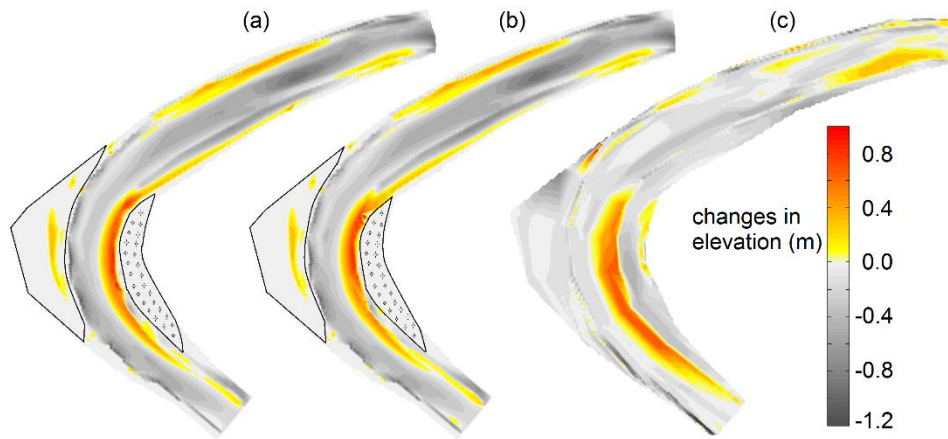


Figure 15. Predicted and measured changes in bed elevation during the flooding periods from August 2005 to July 2006: (a)  $\lambda = 0.15$ ; (b)  $\lambda = 0.25$ ; (c) the measured changes.

#### 4. Conclusions

A two-dimensional model system has been developed and presented for simulating river hydraulics and morphology in the presence of various vegetation covers. The model system solves the full 2D shallow water equations and a non-equilibrium sediment transport equation, with a new module developed to consider the effects of both emergent and submerged vegetation. Also, the secondary flow effects have been incorporated into the 2D model system through the use of dispersion terms, leading to more accurate representation of river flow hydraulics.

The new model system has been validated against a number of laboratory-scale test cases, including flows over fixed and movable beds. The results show that both stream hydraulics and channel morphological changes in the presence of vegetation are reproduced reasonably well, with the bed elevation changes, bank retreat and thalweg meandering correctly captured. Numerical experiments are then designed and performed to investigate the adjustment of

channel patterns to riparian vegetation. Numerical predictions indicate that vegetation imposes significant influence on flow dynamics by pushing the flow towards the opposite sides of the vegetation zones, leading to excessive erosion. With multiple vegetation covers, the channel tends to adjust itself to the meandering form. More complicated and irregular vegetation covers may create diverse channel patterns, which may have important implications to biodiversity of the local environment. Finally, the model's performance and capability are further demonstrated by simulating a natural river bend and the simulation results indicate that the model is generally capable of predicting river hydraulics, sediment transport and morphological changes during flooding in a channel covered with vegetation. The model may therefore have great potential to be used for a variety of applications in river engineering and management.

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